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AquaWatch  
The Water Quality Initiative



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# **Trophic state assessment of global large inland waters using the MODIS-derived FUI**

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## Background:

**Eutrophication represents a serious global environmental problem**

Three classes:

**oligotrophic, mesotrophic, eutrophic**

Five related variables:

**Chl-a, SD**, TP, TN, COD

**Trophic state assessment method:**

TSI (Carlson, 1977)

TLI (Burns and Bryers, 2000)



**Global remote sensing of inland waters are facing many challenges**



i.e. **Optical complexity;**  
**Algorithm applicability;**  
**Mission Capacity;**  
**Atmospheric correction...**

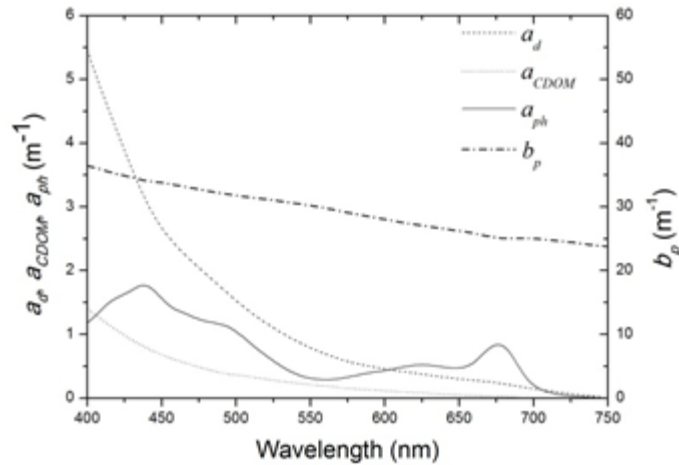
**Lack of global EO product  
for lake trophic state**



# Background:

## Water colour of inland waters varies in a wide range

IOPs

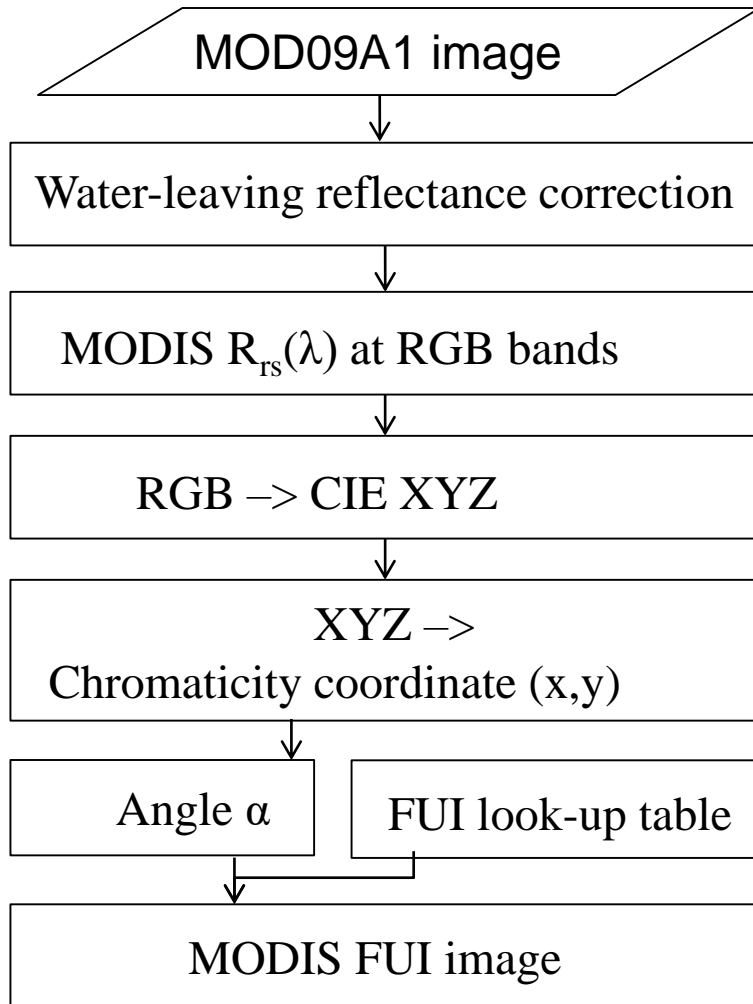


## Water colour could play an important role

Forel-Ule color scale

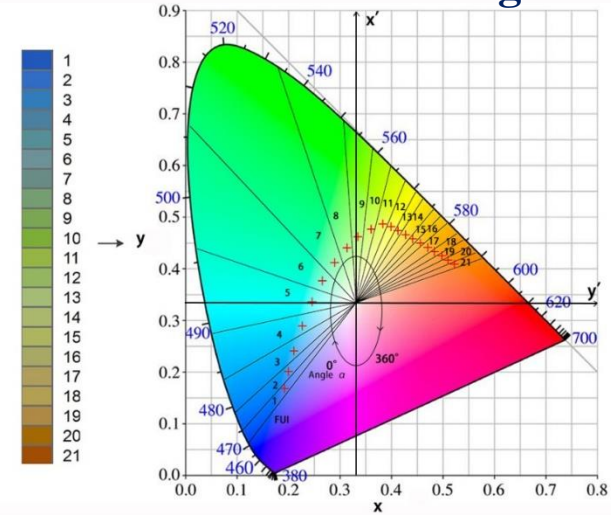


# FUI retrieval from MODIS surface reflectance product (MOD09)



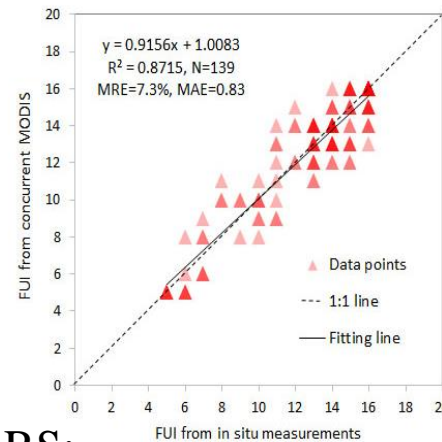
## FUI retrieval scheme from MODIS surface reflectance product (MOD09).

### FUI division in CIE diagram

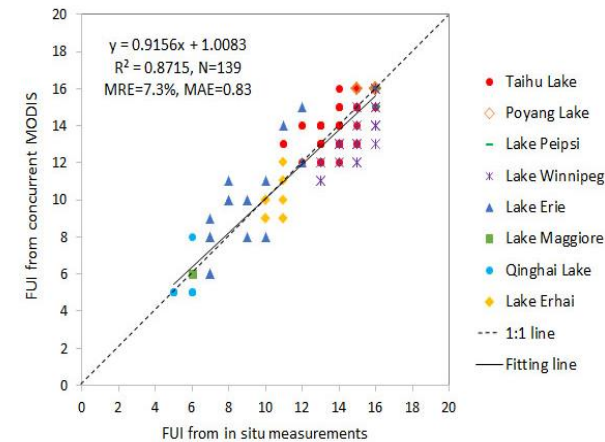


### Comparison between concurrent MODIS FUI and in-situ FUI

**MRD = 7.3%,**



(a)

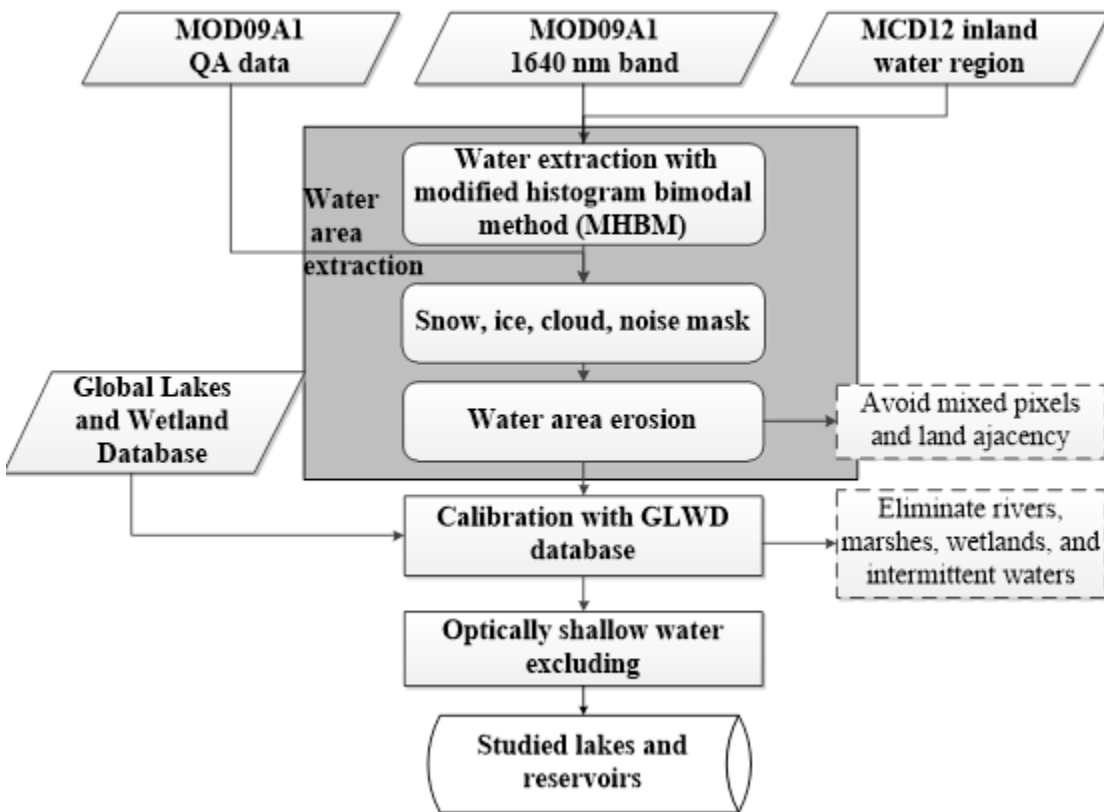


(b)

Wang, S., Li, J., et al. (2015). IEEE JSTARS;

Wang, S., Li, J., et al. (2016). International Journal of Remote Sensing.

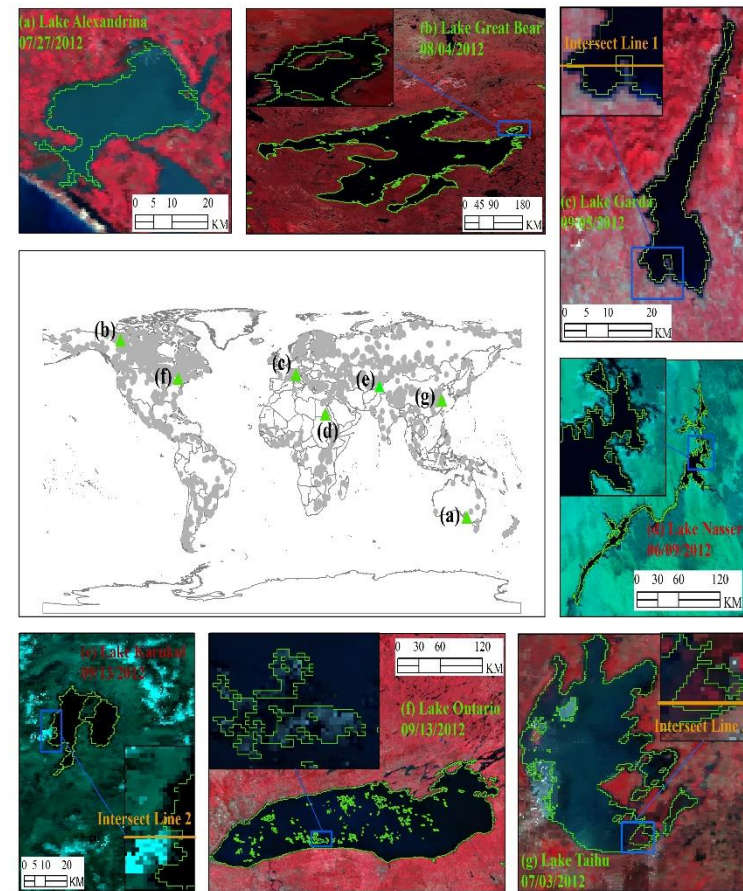
# Water body extraction and identification from MODIS



**Flowchart of water body extraction and calibration from MOD09A1**

**Totally 2058 large lakes ( $> 25 \text{ km}^2$ ) in the summer of 2012 were identified and extracted.**

## Shorelines of water bodies extracted

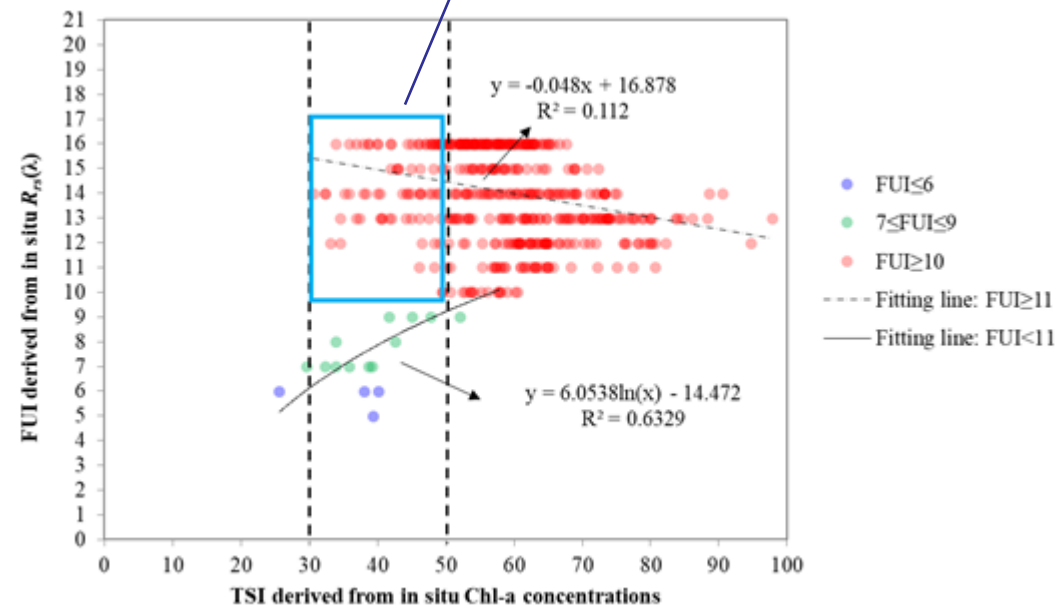
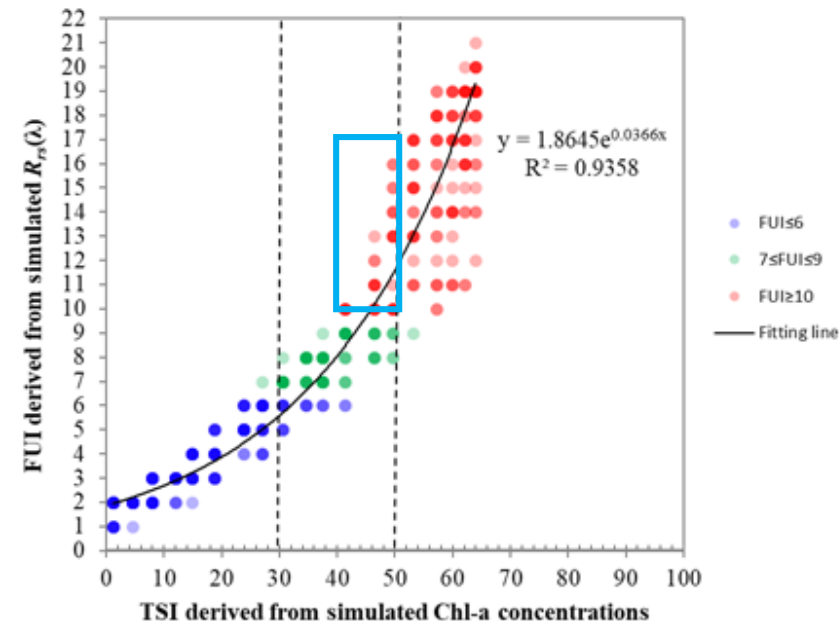




# Relationship built between FUI and TSI(Chl-a)

Lake	Latitude	Longitude	Chl-a	N	Data Source
Lake Maggiore	46.01 N	8.67 E	2.41	3	In-situ (Giardino et al., 2013)
Lake Winnipeg	51.9 N	97.3 W	5.33	58	In-situ (Binding et al., 2013)
Lake Erie	41.9 N	82.1 W	9.34	24	In-situ (Binding et al., 2013)
Lake Peipsi	58.47 N	27.34 E	17.95	26	In-situ (Kutser et al. 2013)
Lake Erhai	25.86 N	100.15 E	19.11	21	In-situ
Yuqiao Reservoir	40.04 N	117.55 E	20.73	13	In-situ
Guanting Reservoir	40.35 N	115.73 E	26.8	31	In-situ
Taihu Lake	31.20 N	120.18 E	42.6	239	In-situ
Chaohu Lake	31.55 N	117.57 E	64.47	29	In-situ
Dianchi Lake	24.82 N	102.71 E	85.2	25	In-situ

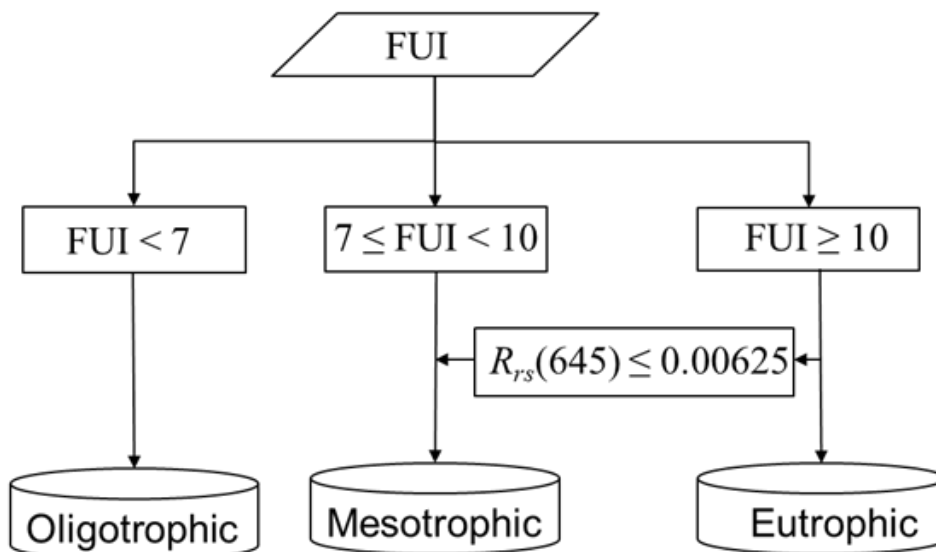
**Most of the points in the cyan box dominated by CDOM, and some of them dominated by high suspended sediments**



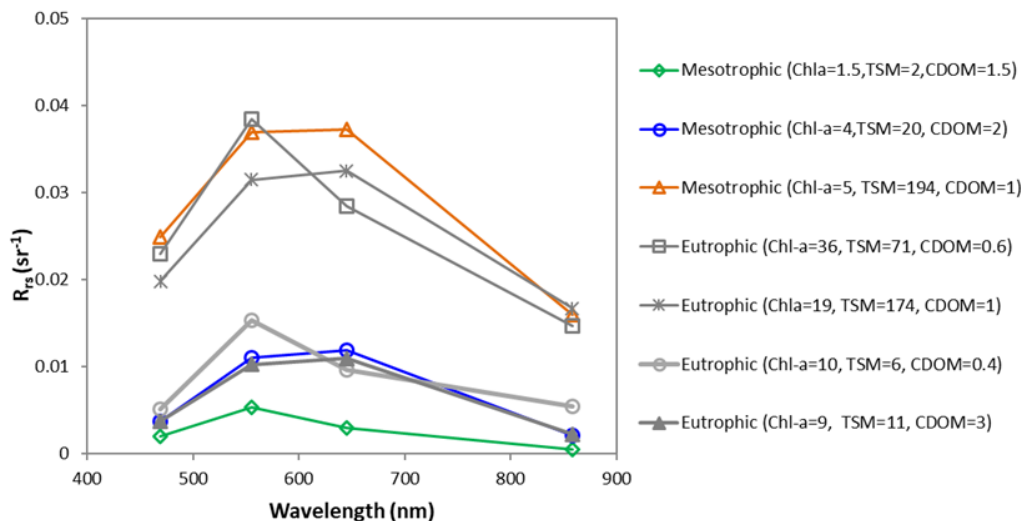
Scatterplot of FUI and TSI(Chl-a) based on **simulated dataset** (IOCCG, 2006).

Scatterplot of FUI and TSI(Chl-a) based on **in situ measurements from 10 lakes around the world**.

# Trophic state assessment decision tree based on FUI and $R_{rs}(645)$



**Modelling accuracy is 87%, based on the in situ dataset**



Through analysis, it shows that the confusing mesotrophic state points mainly in three situations:

- High CDOM with low TSM
- High CDOM with relatively high TSM
- High TSM

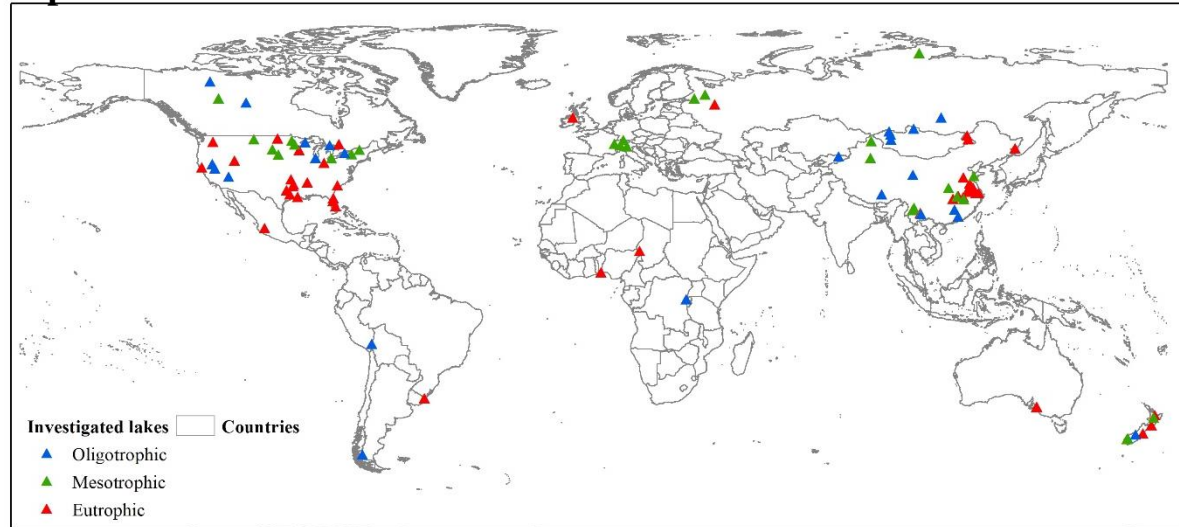
But the MODIS can only identify the first situation with  $R_{rs}(645) < 0.00625$ .

# Results: Trophic state validation with independent data

Published studies and online reports:

i.e. China Environmental State Bulletin (MEPPRC, 2012), the National Lake Assessment from the US Environmental Protection Agency (USEPA, 2016).

Spatial distribution of the 100 water bodies used for validation

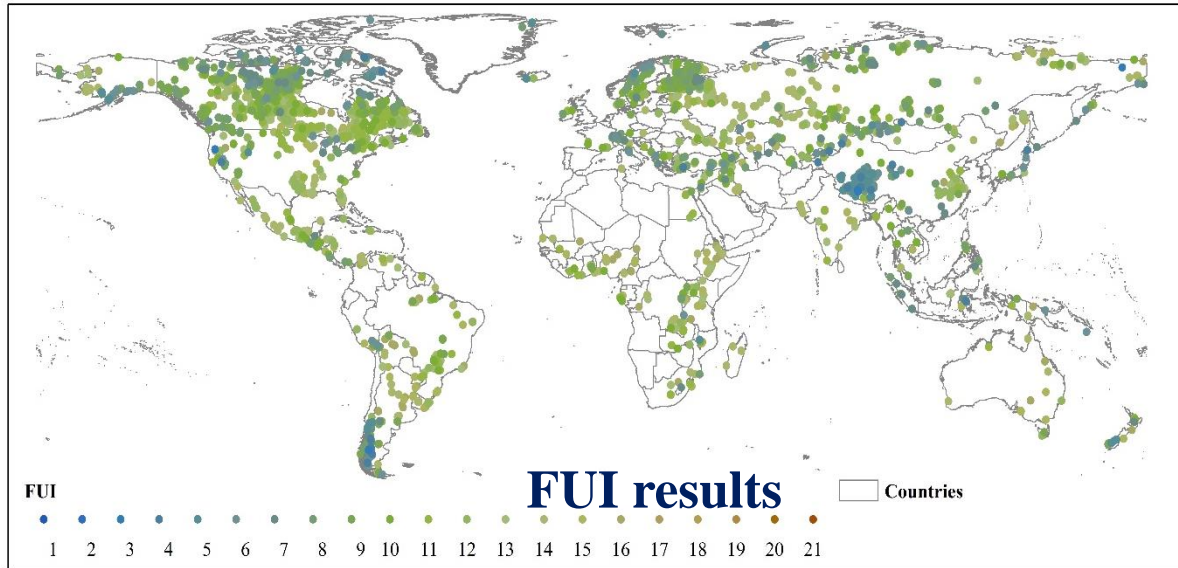


Confusion matrix of Forel-Ule index (FUI)-based trophic state assessment for the investigated 100 lakes

Comparison FUI-based Assessment \ Data	Oligotrophic	Mesotrophic	Eutrophic	Total	User accuracy
Oligotrophic	19	0	0	19	100.0%
Mesotrophic	5	14	2	21	66.7%
Eutrophic	0	13	47	63	78.3%
Total	24	27	49	100	
Producer accuracy	79.2%	51.9%	95.5%		
Kappa coefficient	0.67				
Overall accuracy	80.0%				



# Results: Global results for 2058 large lakes in the summer of 2012



**In lake number:**

**Oligotrophic 10.7%;**

**Mesotrophic 26.2%;**

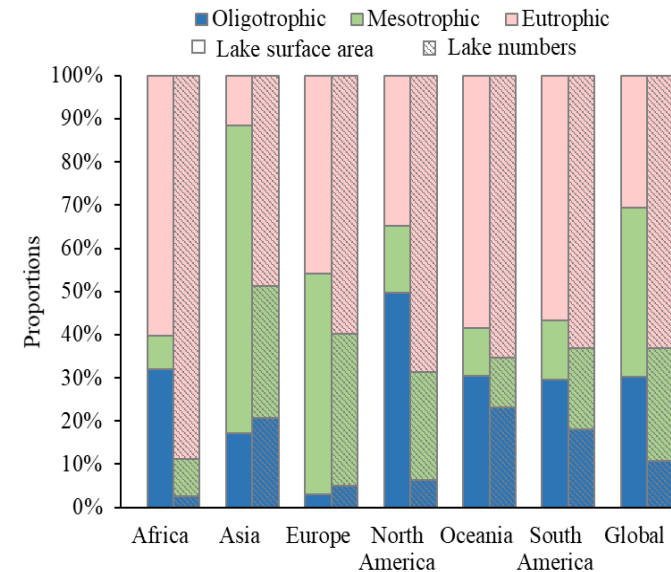
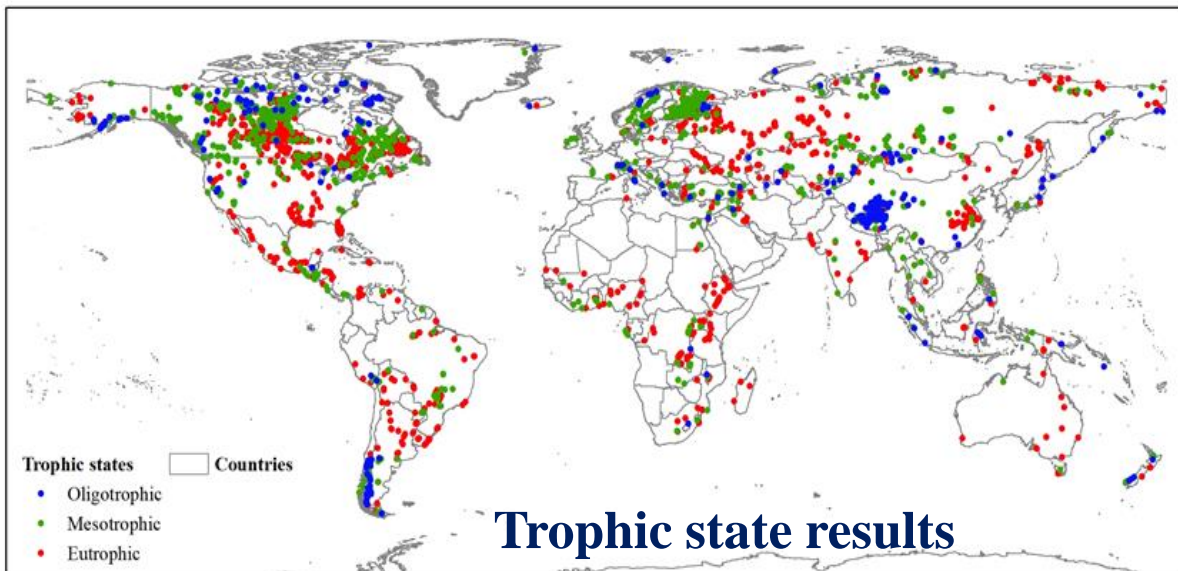
**Eutrophic 63.1%**

**In surface area:**

**Oligotrophic 30.1%;**

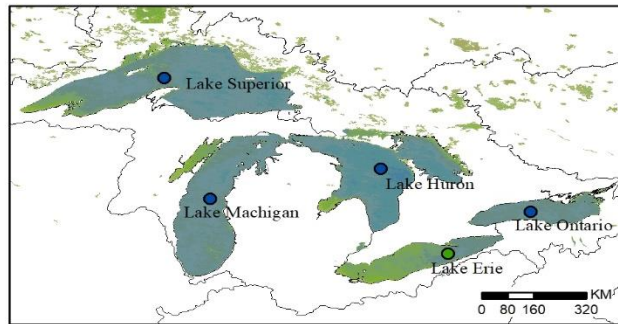
**Mesotrophic 39.4%;**

**Eutrophic 30.5%**

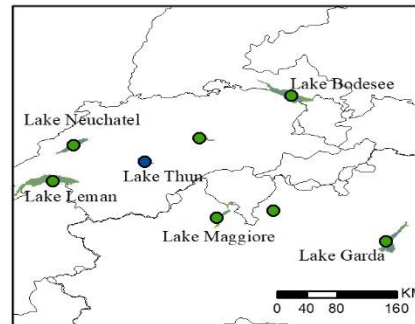


Shenglei Wang, Junsheng Li, Bing Zhang, Evangelos Spyarakos, Andrew N. Tyler et al. Trophic state assessment of global inland waters using a MODIS-derived Forel-Ule index. *Remote Sensing of Environment (Accepted)*.

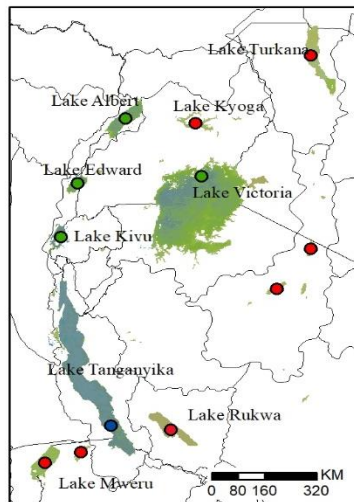
# Results: The FUI and trophic state of regional lake groups



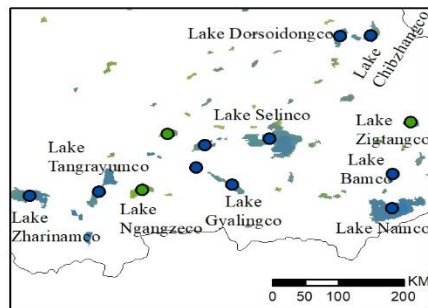
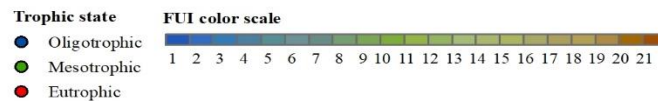
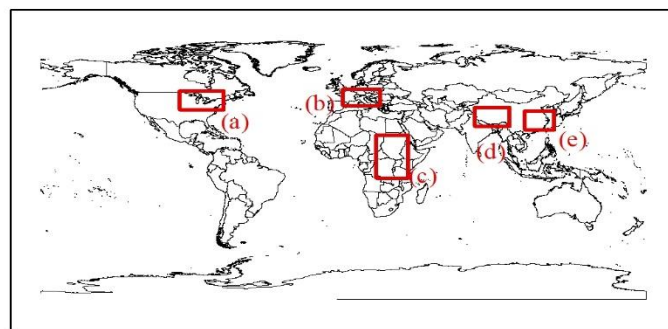
(a)



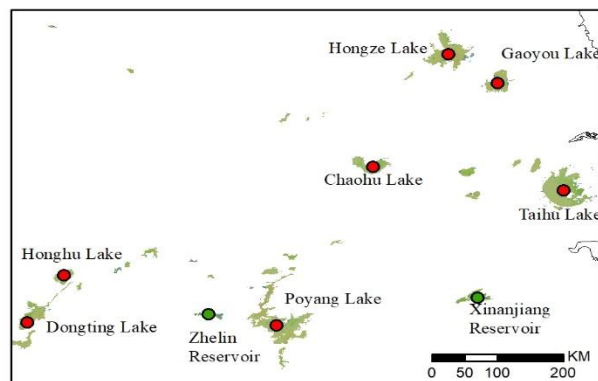
(b)



(c)



(d)



(e)

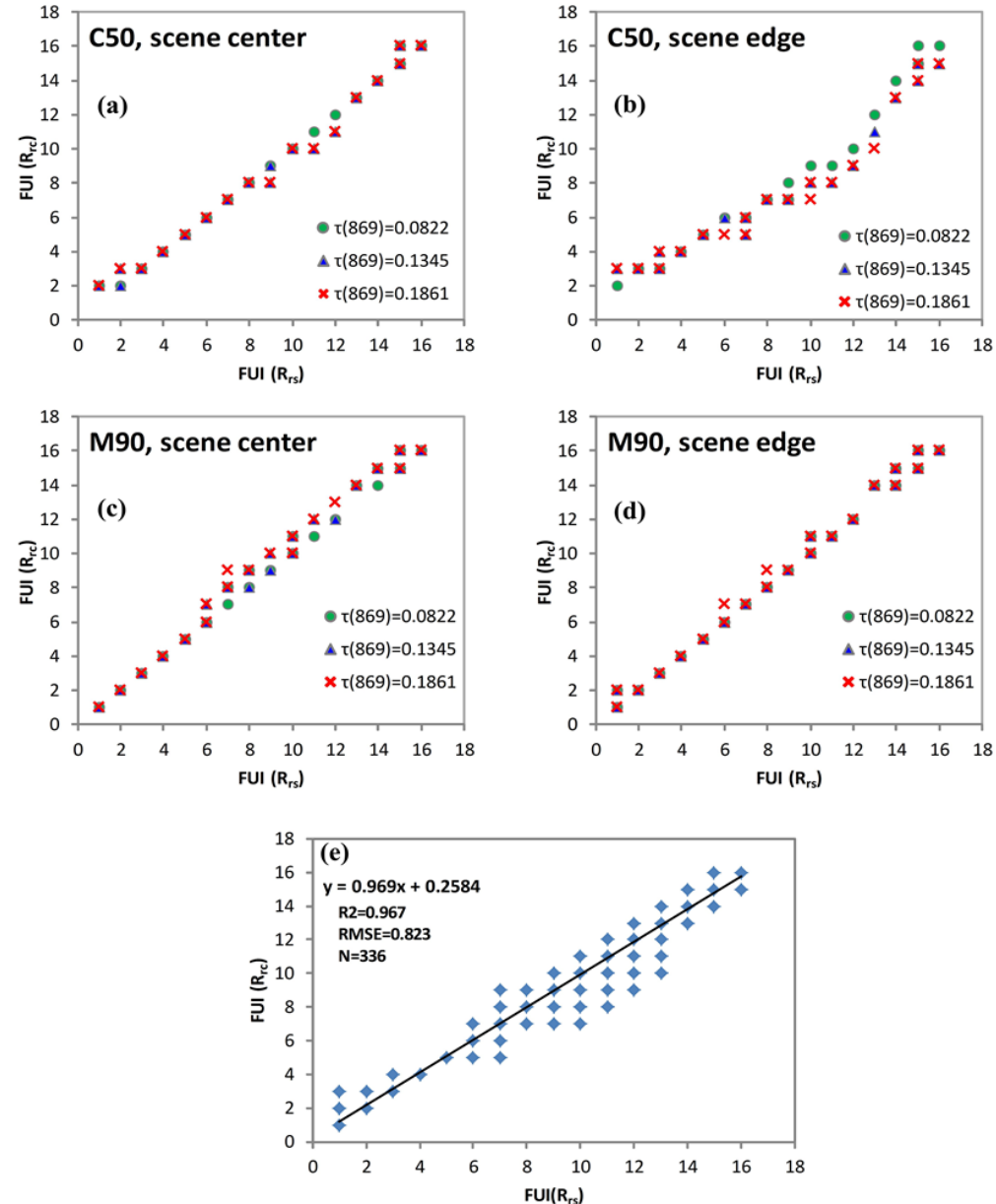
- (a) North America Great Lakes region;
- (b) central south European lake region;
- (c) African Great Lakes region;
- (d) Tibet Plateau lake region;
- (e) middle-lower Yangtze lake region

# FUI sensitivity to aerosol perturbations and observation conditions

**FUI is nearly immune to the considered perturbations, taking advantage of the normalization process in calculation.**

**Relationship between Rrc-based FUI and Rrs-based FUI with various atmospheric conditions (aerosol type and optical thickness  $\tau(869)$ ) and solar/viewing geometry.**

- C50: Coastal aerosol with 50% relative humidity
- M90: Maritime aerosol with 90% relative humidity.





# Conclusions and limitations

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## **The successful outcome can be attributed to two factors:**

- (1) FUI can be retrieved from satellite data with high accuracy (~90%),** because it has a relatively high tolerance to aerosol and observational perturbations, which is critical to FUI's application on the global scale.
- (2) The in-situ measurements used represent a wide range of optical and water quality properties.** This led to a robust trophic state assessment method for global inland waters, and an overall accuracy of 80% was achieved.

## **Limitations:**

**The relationship between FUI and TSI is scattered over turbid waters, affected by other water constituents other than Chl-a.**



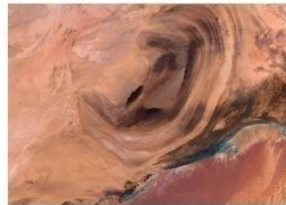
**FUI can just be used to roughly classify the water trophic state into three classes.**

# **Thanks to:**

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# 谢谢！



**Thank you for your attention.**

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